DG TAXUD

Scope of Release 3 vs Release 2

ICS2

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Changes to this document are summarized in the following table in reverse chronological order (latest version first).

Revision	Date	Created by	Short Description of Changes
0.30	09/11/2021	DG TAXUD	Minor corrections.
0.20	25/10/2021	DG TAXUD	Section "ICS2 business processes delta from Release 2 to Release 3" updated
0.10	06/10/2021	DG TAXUD	Initial version

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1 INTRODUCTION

1.1 Purpose

The purpose of the current document is to describe the delta between the scope and functionality of ICS2 Release 2 and ICS2 Release 3 in terms of business processes and information exchanges. The functional specifications for both releases are incorporated in ICS2 CFSS v2.00 package, Business rules 2.0.0 and the accompanying implemented RfC's and KEL's.

1.2 Scope

This document covers the functionality that has to be implemented by the Commission and the Member States.

The focus of the current scope document is the difference in ICS2 functionality from Release 2 to Release 3.

The current document does not include the detailed functionality of ICS2 R2 or R3, just the delta between them.

The RfC's from MS CAB 7, 29/01/2021, concerning only R3 (information exchanges which are only part of R3) are not included.

1.3 Target Audience

The intended audience for this document are the representatives of the Member States, any person involved in ICS2 project including DG TAXUD development contractors.

1.4 Structure of this document

The present document contains the following chapters:

- Chapter 1 – Introduction: describes the scope and the objectives of the document;
- Chapter 2 – Fehler! Verweisquelle konnte nicht gefunden werden.: explains the business processes in and out of scope;
- Chapter 3 –Information Exchanges: explains the information exchanges in and out of scope.

1.5 Reference and applicable documents

1.5.1 Applicable Documents

Ref.	Title	Reference	Version	Date
A01	ICS2 BPM L4 Process Description		2.00	
A02	ICS2 Information Exchange Specifications		2.00	
A03	Business rules dictionary		2.0.0	
A04	KEL		0.11	
A05	MS CAB7 RfC package 29/01/2021			
A06	MS CAB8 RfC package 08/07/2021			

Table 1: Applicable documents

1.6 Abbreviations and Acronyms

Abbreviation/Acronym	Definition
BPM	Business Process Model
CR	Common Repository
ENS	Entry Summary Declaration
ICS2	Import Control System 2
IE	Information exchange
MS	Member State
R2, R3	ICS2 Release 2, Release 3
RfC	Request for Change
TI	Trader Interface

Table 2: Abbreviations and acronyms

2 ICS2 BUSINESS PROCESSES DELTA FROM RELEASE 2 TO RELEASE 3

All specified ICS2 business processes are applicable in both releases.

The delta between ICS2 R2 and R3 from a process perspective does not impact the NES implementation.
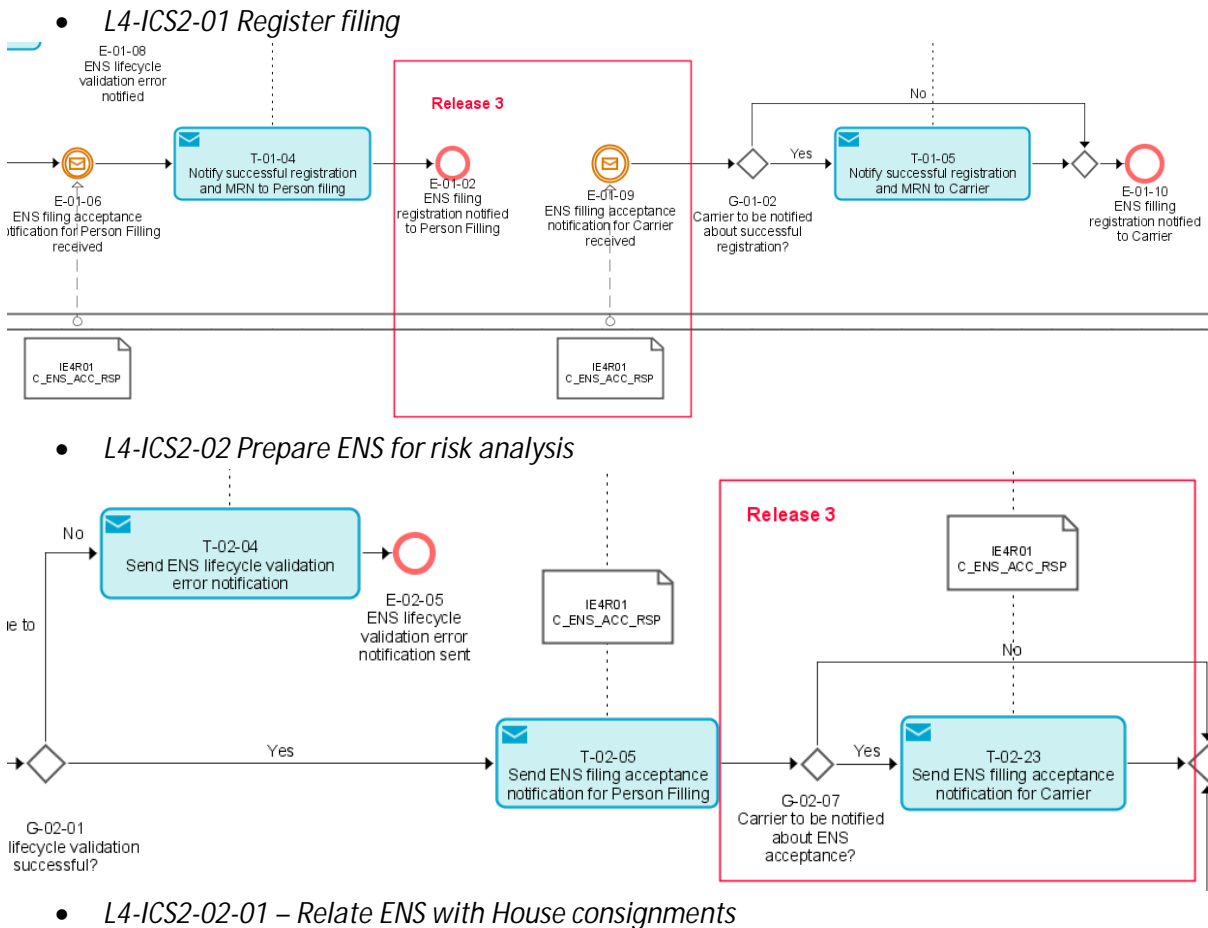
The processes related to the SSA functionality are applicable as of R2.

The delta in Release 3 compared to Release 2 business processes is composed of the below main groups of changes:
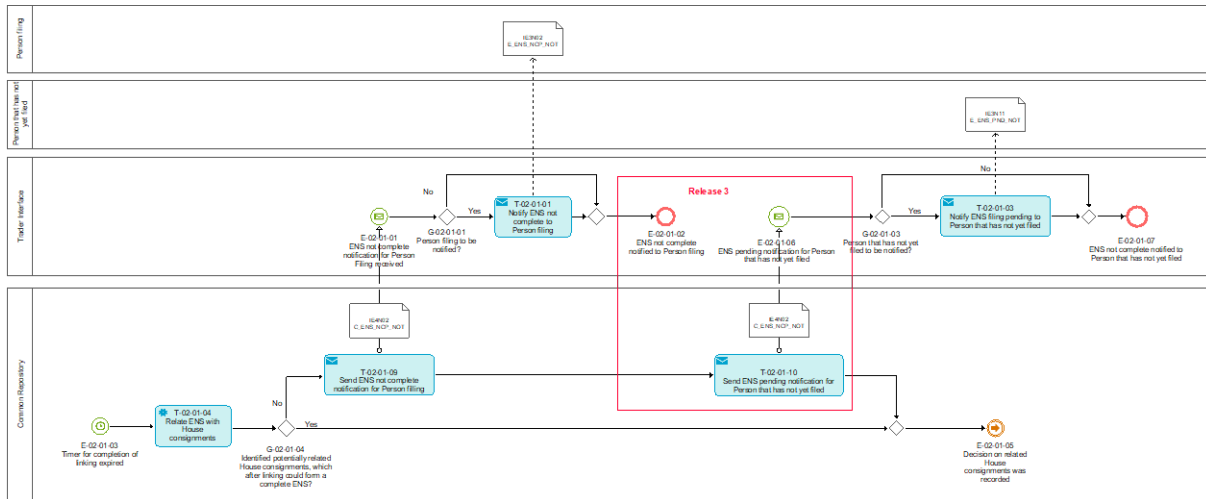
1. The transformation of notification messages to EO's on CR level instead of STI level (as per "RfC200058 - R3 - Splitting messages to EOs at CR level not at STI level").

The change concerns the creation of a separate message for each of the EO participants not on STI level but on CR level. The highlighted parts of the below processes indicate which are the impacted messages and parts of the processes.
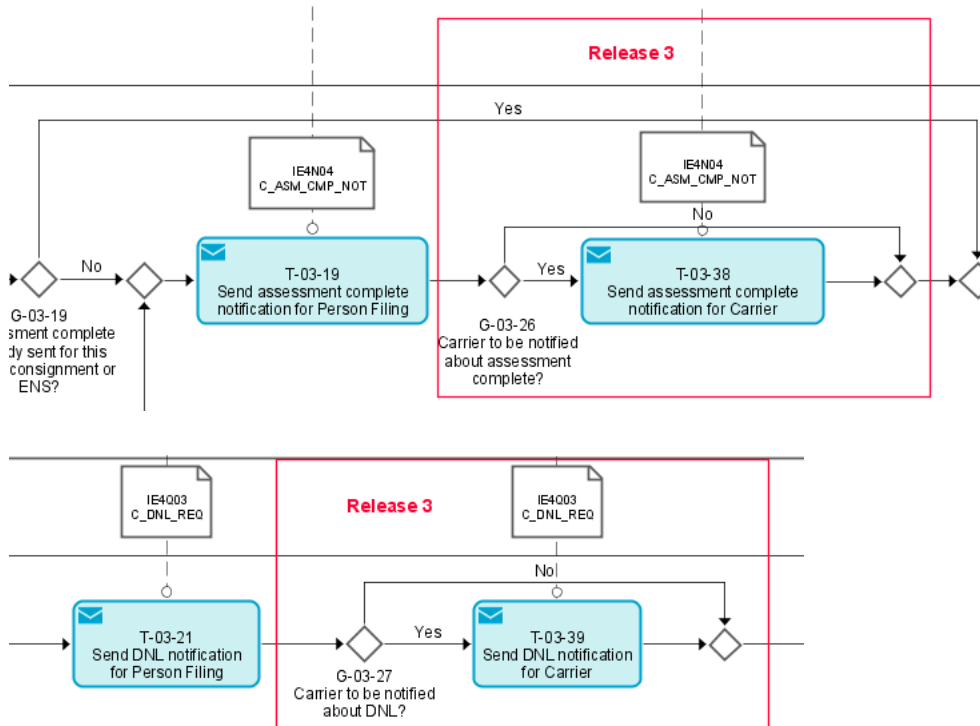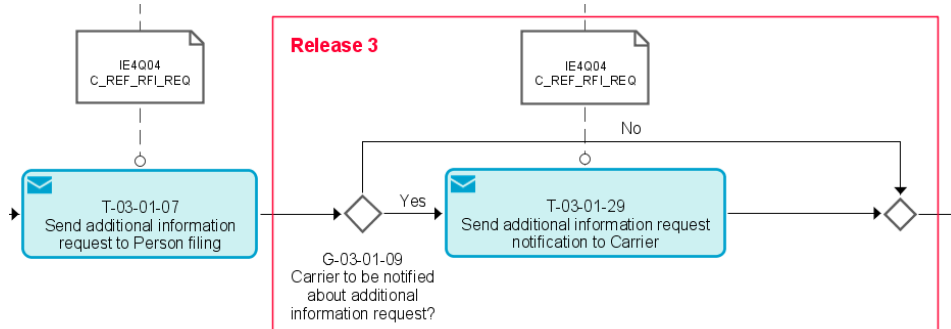
This change concerns the following processes:

- *L4-ICS2-01 Register filing*

- *L4-ICS2-02 Prepare ENS for risk analysis*

- *L4-ICS2-02-01 – Relate ENS with House consignments*

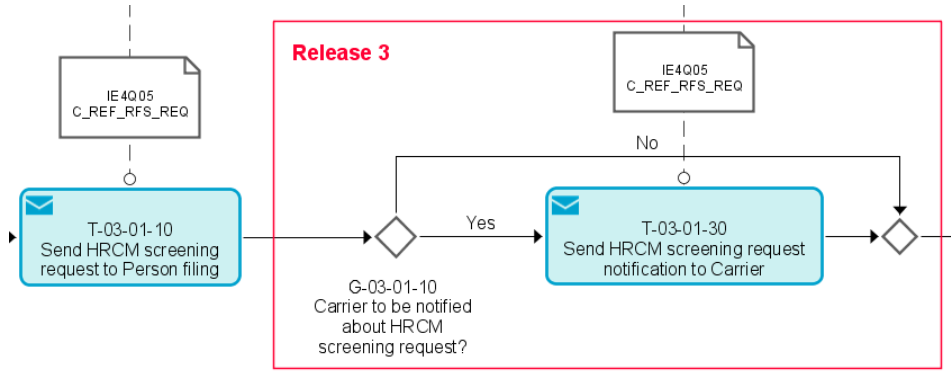Sub-process L4-ICS2-02-01: Relate ENS with House consignments (2021-04-30) v1.30

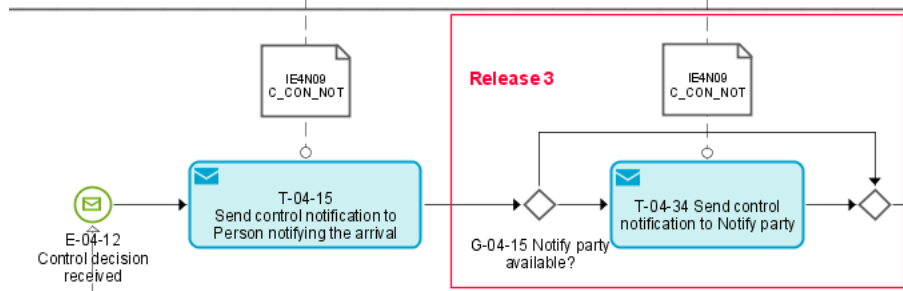- *L4-ICS2-03 – Perform risk analysis*

- *L4-ICS2-03-01 – Send referral*

- *L4-ICS2-04 – Process arrival of the means of transport*

- *L4-ICS2-06-01 – Handle AEO notification*
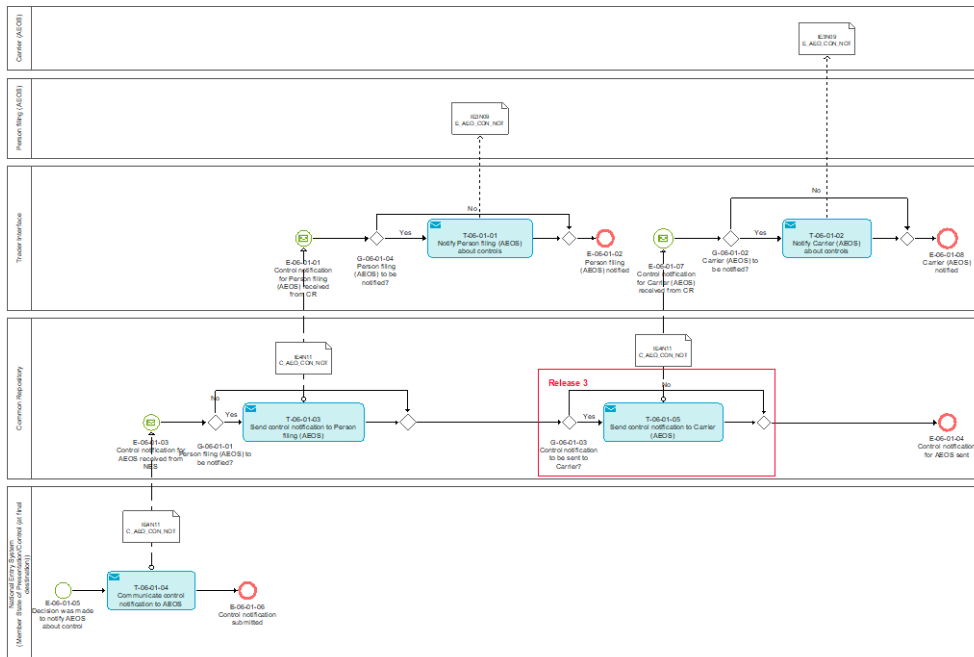
2. Notification of the house consignment in incorrect state notification to the Notify party and the Person filing the ENS (RfC200044 - R3 - M - AN - Notify HC in incorrect state to person filing the ENS). This is relevant only for maritime.
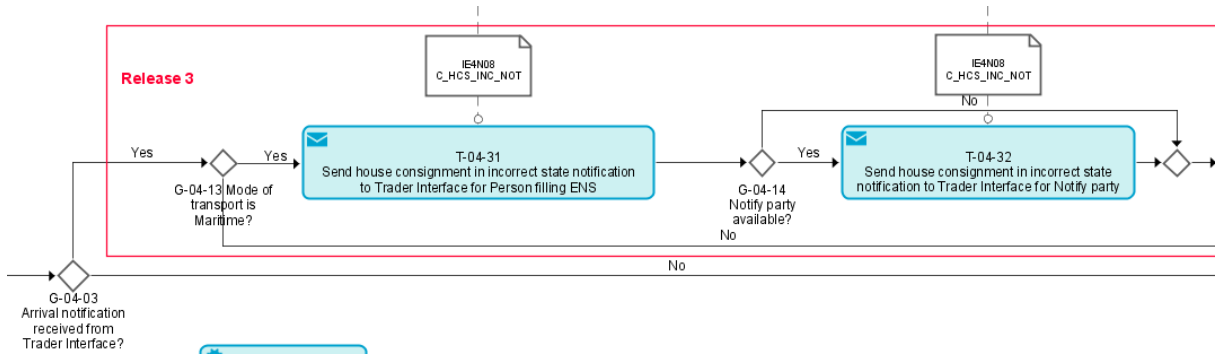
3. Sending of the control notification to the Person filing the ENS (RfC200057 - R3 - Control notification to Person filing ENS). In R3 the control notification message is sent to the Person filing the ENS.
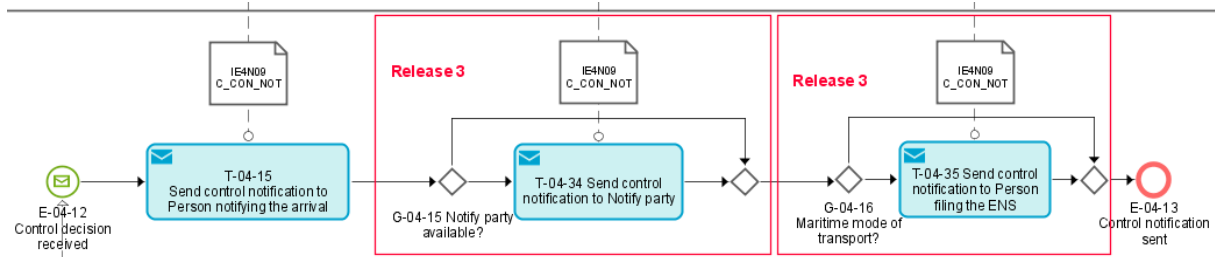
3 INFORMATION EXCHANGES

3.1 Information exchanges R2/R3 scope

All information exchanges except the messages listed below are in scope of Release 2.

The following messages are applicable as of Release 3:

Message ID	Message Name
IE3F10	ENS filing F10
IE3F11	ENS filing F11
IE3F12	ENS filing F12
IE3F13	ENS filing F13
IE3F14	ENS filing F14
IE3F15	ENS filing F15
IE3F16	ENS filing F16
IE3F17	ENS filing F17
IE3F34	ENS filing F34
IE3F40	ENS filing F40
IE3F41	ENS filing F41
IE3F45	ENS filing F45
IE3F50	ENS filing F50
IE3F51	ENS filing F51
IE3A10	ENS filing amendment of F10
IE3A11	ENS filing amendment of F11
IE3A12	ENS filing amendment of F12
IE3A13	ENS filing amendment of F13
IE3A14	ENS filing amendment of F14
IE3A15	ENS filing amendment of F15
IE3A16	ENS filing amendment of F16
IE3A17	ENS filing amendment of F17
IE3A34	ENS filing amendment of F34
IE3A40	ENS filing amendment of F40
IE3A41	ENS filing amendment of F41
IE3A45	ENS filing amendment of F45
IE3A50	ENS filing amendment of F50
IE3A51	ENS filing amendment of F51
IE4F10	ENS filing F10
IE4F11	ENS filing F11
IE4F12	ENS filing F12
IE4F13	ENS filing F13
IE4F14	ENS filing F14
IE4F15	ENS filing F15
IE4F16	ENS filing F16
IE4F17	ENS filing F17
IE4F34	ENS filing F34
IE4F40	ENS filing F40
IE4F41	ENS filing F41
IE4F45	ENS filing F45
IE4F50	ENS filing F50

IE4F51	ENS filing F51
IE4A10	ENS filing amendment of F10
IE4A11	ENS filing amendment of F11
IE4A12	ENS filing amendment of F12
IE4A13	ENS filing amendment of F13
IE4A14	ENS filing amendment of F14
IE4A15	ENS filing amendment of F15
IE4A16	ENS filing amendment of F16
IE4A17	ENS filing amendment of F17
IE4A34	ENS filing amendment of F34
IE4A40	ENS filing amendment of F40
IE4A41	ENS filing amendment of F41
IE4A45	ENS filing amendment of F45
IE4A50	ENS filing amendment of F50
IE4A51	ENS filing amendment of F51

3.2 Delta in information exchanges

3.2.1 External domain

All the listed KEL entries below for the R2 ENS filing types are relevant as of R2. They are implemented in CFSS v2.00.

IExx20, IExx21 – KEL entry 117

IExx23, IExx24 – KEL entry 132, 164

IExx25 – KEL entry 131, 132, 164

IExx27 – KEL entry 117

IExx28 – KEL entry 117

IExx29 – KEL entry 117

IExx30 – KEL entry 117, 127, 130, 149

IExx31 – KEL entry 117

IExx43 – KEL entry 110, 112, 114 as of R1, 149

RfC200050 is agreed to be implemented as of R3. Since it concerns the removal of an optional element there is no risk if the EO implements the message specification as of R2.

IExA42 – "RfC200050 - R3 - M - Removal of referral request reference", KEL entry 117, 150

3.2.2 Common domain

The below changes were agreed to be implemented as of R3 by the MS's. However, since they are the main difference between the R2 and R3 NES messages, it is envisaged to be implemented in R2 in order to eliminate the difference between R2 and R3 for the NES side. The below listed changes in the information exchanges have already been incorporated in CFSS v2.00.

IE4Q01, IE4Q02, IE4N05, IE4R05 – "RfC200040 - R3 - Road - New ENS filing type F40 for postal consignments on road" (addition of "Passive border transport means" at "Related ENS/Consignment (Master level))"

IE4Q02 – KEL entry 123 as of R2

IE4Q03, IE4R05, IE4S01, IE4S02 – "RfC200049 - R3 - Removal of packaging from DNL class"

IE4S01, IE4S02 – "RfC200048 - R3 - Condition for DNL in IE4S01 and IE4S02"

IE4N04 – "RfC200045 - R3 - Send assessment complete for maritime, rail and road"

IE4N08 – "RfC200044 - R3 - M - AN - Notify HC in incorrect state to person filing the ENS"

IE4N09 – "RfC200057 - R3 - Control notification to Person filing ENS"

4   BUSINESS RULES DICTIONARY

The business rules applicability to R2 and R3 is indicated in the definition of each and every business rule.

End of document